

# Justice Erima Harvey Northcroft Tokyo War Crimes Trial Collection

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## Introduction and background

The International Military Tribunal for the Far East (IMTFE), most commonly known as the Tokyo War Crimes Trial, was one of the most important – and controversial – trials of the twentieth century. Like its European counterpart in Nuremberg, the IMTFE was responsible for bringing Axis war criminals to trial. Also like Nuremberg, the success and impartiality of the Tokyo Trial is greatly disputable. Perhaps the only surety of the IMTFE is its value to scholars and academics as a topic of debate. Its proceedings and vast collection of documents contain evidence for countless unanswered and unexplored questions of law, history, politics, sociology, economics, military theory, morality and diplomacy. The records and evidence presented in Tokyo are invaluable primary sources for almost any questions related to the first half of the twentieth century in Asia, particularly as it relates to the growth of imperial Japan.

In January 1949, Justice Erima Harvey Northcroft, Member of the IMTFE for New Zealand, donated his nearly complete set of trial documents to the University of Canterbury (then University of Canterbury College). Northcroft kept only the Judgment of the Tribunal for personal use. He later donated this as well to accompany the rest of the collection. The Northcroft collection is remarkably well preserved and huge. It contains 378 volumes and approx. 109,000 pages. These tallies include extra copies of

certain volumes and repetitive verbatim excerpts from the trial. For the purposes of this inventory and for storage in the future, the University of Canterbury's set of IMTFE documents is divided into 14 general sections (see Skeleton Inventory below). Attached to this document is a detailed inventory of each of these sections. It includes the main bodies of trial documents – the complete Transcripts of Proceedings, the Exhibits, the Proceedings in Chambers, and the Judgment and Annexes. Not only is the Northcroft collection enormous, but it is also one of the most complete and unique sets of its kind in the world, particularly in Australasia.

In his Preface to Kenneth M. Wells' Index to the Records of the International Military Tribunal for the Far East (Japanese War Trials) (1983), Richard H. Hlavac, the former University Librarian of the University of Canterbury, claimed that the Northcroft collection was one of only twelve original sets of IMTFE documents. He does not give any explanation of this number. In fact, precisely how many sets of IMTFE documents exist is unknown and difficult to ascertain. At the end of the trial, each Justice (11) would have had a copy. There undoubtedly was at least one official set published by the Supreme Commander of Allied Powers (SCAP) documents division. Similarly, at least one official Japanese language set would have been issued. It seems likely that the defence and prosecution teams would have had at least one complete section each. That brings the number of original sets up to 15 – 17. It is quite possible that more exists since evidence suggests that many of the individuals involved in the trial (i.e. defence counsel, prosecution members etc.) may have had personal sets, or portions of, the trial documents.

The number of original and complete sets of IMTFE documents at the end of the trial is undetermined, but likely relatively small. The number of complete collections that continue to exist is even more uncertain and much smaller. Any remaining documents from the Tokyo Trial are presently stored in national archives, national libraries, and universities around the world. Some of the most notable collections worldwide are found in the National Archives of Canada, the Records Office of the British House of Lords, the Peace Palace Library at the Hague, the Imperial War Museum in London, the New York City Public Reference Library, Des Moines Public Library, the London School of Economics, the Nissan Institute of Japanese Studies (St. Antony's College, Oxford), the Kansai University Library (Osaka, Japan), the Utah State University's Soemu Toyoda Tribunal Transcripts collection, the Supreme Court Library of Queensland (Australia), the National Library of New Zealand, the University of California Berkeley, the Victoria University of Wellington (New Zealand), the Pentagon Library, the University of New Mexico Centre for Southwest Research, the University of Michigan Law Library, the Dawson Rare Books Room of the Cornell University Law Library, the Rockefeller Library of Brown University, the Old Dominion University Library, the Pacific Union College Library, the University of Miami, Coral Gables, the Drake University Cowles Library, the University of Indiana, the University of Chicago, its affiliate the Center for Research Libraries (formerly the Mid-West Inter-Library Center), the Australia War Memorial, and the Rijks Universiteit Groningen (the Netherlands). Each of these organisations has substantial collections of original IMTFE sources.

These collections generally include one or two of the main sections of IMTFE documents. Some, such as the Rijks Universiteit Groningen, have copies of the Proceedings in Chambers. Others, the University of New Mexico Centre for Southwest Research, for example, have portions of the Exhibits. More uniquely, the Australia War Memorial, the Des Moines Public Library and the Supreme Court Library of Queensland have interesting miscellaneous material such as personal writings and oral histories of those involved or original video recordings. Most have copies of the trial Transcripts and the Judgment. None of these collections contain all four of these main sections.

In fact, only a handful of more or less complete original sets of IMTFE documents exist in the world. Perhaps the two most complete sets reside with the National Archives of the United States and in the Arthur J. Morris Law Library at the University of Virginia. The University of Virginia's collection is notable because it has probably the best collection of personal memorials of the trial. It holds the papers of Roy L. Morgan (Chief Interrogator for the IMTFE), G. Carrington Williams (defence counsel for Naoki Hoshino), and Frank S. Tavenner (Counsel and acting Chief of Counsel at the IMTFE). The Harvard Law School Langdell Law Library, Princeton University's Department of Rare Books and Special Collections, the United States Library of Congress, the Columbia University Arthur W. Diamond Law Library, and Stanford University's Hoover Institution on War, Revolution and Peace all have as practically complete sets of original IMTFE documents. There is also a Japanese language version of IMTFE documents in Tokyo. Outside of the United States, only the University of Canterbury has a collection of a comparable size and comprehensiveness. The Erima Harvey Northcroft IMTFE Collection of the University of Canterbury is therefore truly world-class – as a relic and as a research tool.

This elite company makes the anonymity of the Northcroft collection all the more unfortunate. Hopefully this inventory will facilitate the use of the Northcroft collection, and in turn, stimulate the level of research done using this vast and unique source. What is perhaps even more surprising about the lack of use given to the Northcroft collection is the absolute wealth of primary source material found within the trial documents. This ignorance is not limited only to the University of Canterbury's collection; in general, the IMTFE sources are a greatly underused research commodity.

R. John Pritchard, the world's leading expert on and most determined compiler of IMTFE documents contends that there are two ways of approaching the Tokyo Trial. The first is to analyse or critique the legal matters of the trial: Its jurisdiction, the legality of its proceedings, its legal precedents, and its juridical advents and legacies. The second is, as Pritchard puts it, "to approach the records of the International Military Tribunal for the Far East in relation to its enquiry into matters of fact".<sup>1</sup> In other words, to extrapolate topics of enquiry out of the mounds of evidence gathered by the trial.

Within these two very general approaches lay countless specific research topics. Tokyo Trial documents are perhaps most valuable to historians. Legal historians will find a plethora of information regarding the founding conventions and organisations of the Allied quest for "justice" during and following World War II. This includes the United Nations War Crimes Commission, the Moscow Convention, The Potsdam Convention

and the Cairo Conference. Furthermore, historians of law will find information concerning the proceedings of Allied war crimes operations outside the realm of the Tokyo Trial, including those run throughout Southeast Asia by the British and Australians and the famous US v. Yamashita in the Philippines. Military historians can use the IMTFE collection to access documents on the planning and waging of several wars – the Sino-Japanese conflicts of 1931 and 1937-1941, as well as the greater Asian sphere of World War II – as well as countless smaller border skirmishes. Similarly, historians studying the growth of the Japanese empire will find few better and more detailed sources than the IMTFE documents. Research topics in modern Asian history found within the IMTFE run the gamut from “en vogue” topics like Japanese atrocities in Nanjing, the Burma-Siam railway, the execution of captured US airmen, and the Sandakan and Bataan Death Marches, to lesser known and unexplored war crimes perpetrated elsewhere in the Pacific sphere, particularly in the Pacific Islands. Likewise, insight can be gained regarding collaborationist governments within the Japanese wartime possessions, the growth of Japanese nationalism and militarism, Japanese approaches to counter-insurgency, the use of propaganda, Japan’s wartime drug policies, the Greater East Asia Co-prosperity Sphere, the Manchurian Incident (18 September 1931), Japan’s withdrawal from the League of Nations, and the inner workings of the notorious Guandong [Kwantung] Army and Kempeitai (secret police).

Legal scholars, particularly those in the growing field of international law, will also find the IMTFE sources invaluable. Evidence exists therein for examinations of the charges of “crimes against peace”, “conspiracy” and the waging “wars of aggression”. Similarly, the validity of holding individuals responsible for the actions of a state and the idea of “negative responsibility” can be explored. The legality of the very structure and jurisdiction of international military tribunals can be questioned. These are just a few of the most notable examples of the worth of the IMTFE documents to legal scholars.

Academics far beyond the more obvious fields of law and history will also find the collection of IMTFE documents of interest. Diplomatic scholars are presented with one of the greatest compilations of diplomatic relations in the twentieth century. This is especially true regarding imperial Japan’s treaties and agreements with the rest of the world. Also of note are the records of the tense negotiations between Japan and the West prior to Western involvement in the Asia sphere of World War II in December 1941. Political scientists will use the IMTFE sources to investigate Japanese factionalism, the inner workings of the Japanese political systems – particularly the Diet and Cabinet –, and the most prevalent Japanese political philosophies of the early twentieth century. Economists will also benefit from the wealth of the IMTFE documents. Potential topics for economists are the westernisation of Japan’s economy, the industrialisation of Japan and its colonies, the operation of a “heavy” industry-based wartime economy, the trade, production, resources, and currency of imperial Japan, and the affect of Western economic sanctions on Japan in the late 1930s and early 1940s. Biographers of wartime figures, both Allied and Axis, high and low level, will find the IMTFE documents crucial. In many cases, the trial evidence includes the last testaments of some of the most powerful men and women of the era. IMTFE sources also include haunting tales of depravity and suffering from individual low-level civilian and military victims of war crimes.

IMTFE evidence provides sociologists and anthropologists with unique insight into the inner workings of a censored and controlled society as seen in imperial Japan. Studies on the social impact of war and atrocities will also benefit from perusing the Tokyo Trial documents. The affidavits and testimonies of the victims and perpetrators of wartime crimes give particularly poignant elucidation of the impact war has on civilisations. Finally, philosophers and moralists will find innumerable issues to deliberate upon. These include: the morality of war, particularly of “total” war and the use of nuclear weapons, the justification of Western hypocrisy and racism towards Asia, the right of a victorious power(s) to put a conquered foe on trial, the validity of placing an entire civilisation on trial, or conversely of holding a few people responsible for the actions of a state. The IMTFE documents also hold a number of valuable articles of evidence that are interdisciplinary in nature. Particularly valuable are contemporary photographs, charts, maps, and statistics from the early twentieth century.

The University of Canterbury’s E. H. Northcroft collection of International Military Tribunal for the Far East (1946-1948) documents is one of the most extensive sets of original IMTFE documents in the world. It has been a special privilege of mine to have worked with this amazing archive. I have many people to thank for this opportunity. First and foremost, this work is dedicated to Justice Erima Harvey Northcroft for the prescience and generosity he demonstrated by donating his trial documents. For my initial introduction to Canterbury’s IMTFE collection I would like to express deepest gratitude to my senior Masters supervisor Dr. Neville Bennett. For access to the collection and professional support throughout my thesis work and the completion of this inventory I thank the entire staff of the Macmillan Brown Library. Jill Durney and Murray Laughlin have been of particular help. This inventory would never have come into existence without the support and interest of Mr. Robin Stevens and Mr. Robert Low in the special collections department of the Central Library at the University of Canterbury. Gratitude should also be expressed to several librarians in charge of substantial IMTFE collections outside of New Zealand. Their responses to my queries helped me determine how complete or unique the Northcroft collection is. Robyn van Dyk gave thorough insight into the holdings of the Australian War Memorial archive. Similarly, Carol A. Leadenham, Assistant Archivist for Reference at Stanford’s Hoover Institution Archives gave valued assistance. Although the General MacArthur Memorial Archive in Norfolk, Virginia did not have as substantial a collection of IMTFE sources as I had hoped, I also appreciate the assistance provided by James W. Zobel, archivist, in our brief correspondence. Finally, for his invaluable advice and expertise regarding the trial documents, I would like to thank Dr. R. John Pritchard. He has dedicated much of his life to compiling a definitive and accessible copy of IMTFE documents. His enthusiasm in assisting a lowly thesis student working on a summer job on the other side of the world is not only greatly appreciated, but also gives hope that the Tokyo Trial will gain some of the prominence and discussion it deserves.

James Burnham Sedgwick  
Christchurch, New Zealand  
March 2004.

1. R. John Pritchard and Sonia Magbanua Zaide (eds.), **The Tokyo War Crimes Trial: The Comprehensive Index and Guide to the Proceedings of the International Military**

**Tribunal for the Far East**, (New York & London: Garland Publishing Inc., 1987) xxxi; and R. John Pritchard (ed.) **The Tokyo Major War Crimes Trial The Records of the International Military Tribunal for the Far East with an Authoritative Commentary and Comprehensive Guide**, (Lewiston (New York), Lampeter (Wales): The Edwin Mellen Press, 1998) xx – xxiii.

## Skeleton inventory

<b>INDEXES AND FINDING GUIDES</b>	<b>16 VOLUMES</b>
Transcripts of proceedings	138 volumes
Final addresses	29 volumes
Digest of transcripts	16 volumes
Motions presented to the court	5 volumes
Rulings and orders of the court	3 volumes
Prosecution documents	11 volumes
Proceedings in chambers	6 volumes
Exhibits	93 volumes
Judgment and annexes	10 volumes
Appendage documents related to judgment	8 volumes
Separate opinions of the justices	6 volumes
Miscellaneous	9 volumes
Extra and duplicate material	28 volumes
Total	378 volumes

## Indexes and finding guides

The International Military Tribunal for the Far East (IMTFE), most commonly known as the Tokyo War Crimes Trial (29 April 1946 to 12 November 1948), was one of the most important trials of the twentieth century. The IMTFE was charged with bringing the highest levels of Japanese war criminals to trial. The Tribunal consisted of eleven members from eleven nations: Australia, Canada, China, France, Great Britain, India, New Zealand, the Philippines, the Netherlands, the Soviet Union, and the United States of America. Justice Erima Harvey Northcroft was chosen to represent New Zealand on the Bench of the IMTFE. After the trial In January 1949, Justice Northcroft kindly donated his nearly complete set of trial documents to the University of Canterbury (then University of Canterbury College). At the time of his bequest, Justice Northcroft was well aware of the importance of this resource. Since that time, as original copies of the material have dwindled, disintegrated, and been lost, the value of Northcroft's gift has risen exponentially. This collection is now one of the most complete sets of IMTFE documents in the world. It contains almost 380 volumes and nearly 110,000 pages. Evidence can be found therein for examinations of virtually any topic regarding Asia in the first half of the twentieth century, particularly as it applies to the growth of Japanese imperialism.

The E. H. Northcroft Collection [MB 1549] is part of the documentary archives collection held by the University of Canterbury's Macmillan Brown Library. Please use the following inventory to fully realise the worth of Northcroft's endowment. For further information about access to the collection please contact the Macmillan Brown Library.

- Volume I: includes: "The Bridge-Head"; "Tanaka Cabinet"; "Hamaguchi Cabinet"; "Wakatsuki Cabinet"; "Inukai Cabinet" "Saito Cabinet";
- Volume II: includes: "Okada Cabinet"; "Hirota Cabinet"; "Hayashi Cabinet"; Volume III: includes: "First Konoye Cabinet";
- Volume IV: Includes: "Hiranuma Cabinet"; "Abe Cabinet"; "Yonai Cabinet"; Volume V: includes: "Second Konoye Cabinet";
- Volume VI: includes: "Third Konoye Cabinet";
- Volume VII: includes: "Tojo Cabinet"; and the Appendix: includes: "Maps and Charts".

## Indexes

TITLE	AUTHOR	CORRESPONDING TRIAL PAGES OR DATES	SIZE
<b>Docket and Chronology of Proceedings and Pleadings</b>	Clerk(s) of the Court	25 April 1946 – 30 April 1948	185 pages
<b>General Index of the Record of the Prosecution Case</b> (IPS Document No 0005)	Prosecution	Transcripts 1 – 16997	101 pages
<b>Index of Witnesses</b>	Prosecution	17 June 1946 – 30 January 1948	152 pages
<b>Index to Final Addresses</b>	Prosecution		22 pages
<b>Index of Exhibits Volume I</b>	Prosecution	Exhibits Numbers 1 – 2282	322 pages
<b>Index of Exhibits Volume II</b>	Defence	Exhibits Numbers 2283 – 3915	269 pages

## Finding guides

TITLE	AUTHOR	CORRESPONDING TRIAL PAGES OR DATES	SIZE
Summary of Proceedings: Prosecution's Case	W. E. Cuppaige	Transcripts1 -16259	1 – 401
Summary of Proceedings: Defence Case-General(Excluding Individual Defendants)	W. E. Cuppaige	Transcripts17004 - 28085	1 – 253
Brief of Facts - Presented by the Prosecution	Lt. Col. Howard H. Hastings JAGD, US		866 pages
Volume I			1 – 145
Volume II			146 – 254
Volume III			255 – 399
Volume IV			400 - 509
Volume V			510 – 670
Volume VI			671 – 733
Volume VII			734 – 854
Appendix			9 pages

## **Transcripts of proceedings**

Apart from the IMTFE Judgment and Annexes, the Tokyo Trial Transcripts of Proceedings is perhaps the most widely held section of the IMTFE documents. It is also the largest single contributor to the size of IMTFE documents. Nearly 50,000 pages of verbatim records of the Tokyo Trial are included in the Transcripts. Very generally, this can be divided into four sections. The first, the "Preliminary Stages", includes the Indictment, the pleas of the Defendants, and various procedural matters. The second, the "Prosecution Phase", ranges from page 381 to 16997. The third, "Defence Phase", encompasses pages 17004 – 37175. Finally, the "Final Stages", includes the various rebuttals, sur-rebuttals, and the final addresses of the Prosecution and Defence, as well as a reading of the Judgment. The "Final Stages" section includes pages 37176 – 49858. The best way to investigate this group of trial documents is R. John Pritchard and Sonia Magbanua Zaide (eds.) *The Tokyo War Crimes Trial: The Comprehensive Index and Guide to the Proceedings of the International Military Tribunal for the Far East*. New York & London: Garland Publishing Inc., 1987. The Macmillan Brown Library has a copy of this five volume set. It is as extensive as its name implies. Its call number is JX 6731 .W3 .I61 index. Pritchard and Zaide's index gives page references to the Transcripts for a vast number of topics. The Northcroft collection divides the Transcripts into 138 Volumes.

Use the chart below to determine which volume is needed according to the page reference garnered from Pritchard and Zaide's index. Request this volume from the librarians at the Macmillan Brown Library.

VOLUME	PAGE RANGES	DATE RANGES
1	1 – 374	29 April 1946 - 3 June 1946
2	375 – 717	4 June 1946 - 14 June 1946
3	718 – 1021	17 June 1946 - 19 June 1946
4	1022 – 1371	20 June 1946 - 25 June 1946
5	1372 – 1720	26 June 1946 - 1 July 1946
6	1721 – 2045	2 July 1946 - 6 July 1946
7	2046 – 2418	8 July 1946 - 23 July 1946
8	2419 – 2798	24 July 1946 - 30 July 1946
9	2799 – 3152	31 July 1946 - 2 August 1946
10	3153 – 3568	5 August 1946 - 8 August 1946
11	3569 – 3916	9 August 1946 - 15 August 1946
12	3917 – 4251	16 August 1946 - 23 August 1946
13	4252 – 4565	26 August 1946 - 29 August 1946
14	4566 – 4908	30 August 1946 - 4 September 1946
15	4909 – 5247	6 September 1946 - 9 September 1946
16	5248 – 5597	10 September 1946 - 13 September 1946
17	5598 – 5921	17 September 1946 - 19 September 1946
18	5922 – 6298	20 September 1946 - 24 September 1946
19	6299 – 6691	25 September 1946 - 27 September 1946
20	6692 – 7027	30 September 1946 - 3 October 1946
21	7028 – 7445	4 October 1946 - 9 October 1946
22	7446 – 7820	10 October 1946 - 15 October 1946

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<b>23</b>	7821 – 8165	16 October 1946 - 18 October 1946
<b>24</b>	8166 – 8568	21 October 1946 - 23 October 1946
<b>25</b>	8569 – 9006	24 October 1946 - 30 October 1946
<b>26</b>	9007 – 9326	31 October 1946 - 1 November 1946
<b>27</b>	9327 – 9653	4 November 1946 - 5 November 1946
<b>28</b>	9654 – 9984	6 November 1946 - 7 November 1946
<b>29</b>	9985 – 10279	8 November 1946 - 12 November 1946
<b>30</b>	10280 – 10661	13 November 1946 - 15 November 1946
<b>31</b>	10662 – 11061	18 November 1946 - 21 November 1946
<b>32</b>	11062 – 11455	22 November 1946 - 27 November 1946
<b>33</b>	11456 – 11757	29 November 1946 - 3 December 1946
<b>34</b>	11758 – 12123	4 December 1946 - 5 December 1946
<b>35</b>	12124 – 12478	6 December 1946 - 10 December 1946
<b>36</b>	12479 – 12827	11 December 1946 - 13 December 1946
<b>37</b>	12828 – 13221	17 December 1946 - 18 December 1946
<b>38</b>	13222 – 13613	19 December 1946 - 24 December 1946
<b>39</b>	13614 – 13982	26 December 1946 - 30 December 1946
<b>40</b>	13983 – 14303	31 December 1946 - 3 January 1947
<b>41</b>	14304 – 14683	6 January 1947 - 8 January 1947
<b>42</b>	14684 – 15106	9 January 1947 - 13 January 1947
<b>43</b>	15107 – 15452	14 January 1947 - 16 January 1947
<b>44</b>	15453 – 15864	17 January 1947 - 21 January 1947
<b>45</b>	15865 – 16260	22 January 1947 - 24 January 1947
<b>46</b>	16261 – 16599	27 January 1947 - 28 January 1947

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47	16600 – 16995	29 January 1947 - 31 January 1947
48	16996 – 17354	3 February 1947 - 26 February 1947
49	17355 – 17759	27 February 1947 - 4 March 1947
50	17760 – 18078	5 March 1947 - 10 March 1947
51	18079 – 18462	11 March 1947 - 14 March 1947
52	18463 – 18783	17 March 1947 - 19 March 1947
53	18784 – 19128	20 March 1947 - 25 March 1947
54	19129 – 19471	2 April 1947 - 4 April 1947
55	19472 – 19841	8 April 1947 - 11 April 1947
56	19842 – 20199	14 April 1947 - 17 April 1947
57	20200 – 20571	18 April 1947 - 22 April 1947
58	20572 – 20908	23 April 1947 - 25 April 1947
59	20909 – 21279	28 April 1947 - 1 May 1947
60	21280 – 21718	2 May 1947 - 7 May 1947
61	21719 – 22133	9 May 1947 - 14 May 1947
62	22134 – 22546	15 May 1947 - 19 May 1947
63	22547 – 22955	20 May 1947 - 23 May 1947
64	22956 – 23345	26 May 1947 - 29 May 1947
65	23345 – 23774	2 June 1947 - 6 June 1947
66	23775 – 24163	9 June 1947 - 11 June 1947
67	24164 – 24572	12 June 1947 - 17 June 1947
68	24573 – 24866	18 June 1947 - 4 August 1947
69	24867 – 25256	5 August 1947 - 7 August 1947
70	25257 – 22617	8 August 1947 - 12 August 1947

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71	25618 – 26062	13 August 1947 - 15 August 1947
72	26063 – 26395	18 August 1947 - 20 August 1947
73	26396 – 26753	21 August 1947 - 25 August 1947
74	26754 – 27137	26 August 1947 - 28 August 1947
75	27138 – 27515	29 August 1947 - 3 September 1947
76	27516 – 27923	4 September 1947 - 8 September 1947
77	27924 – 28314	9 September 1947 - 11 September 1947
78	28315 – 28715	12 September 1947 - 17 September 1947
79	28716 – 29019	18 September 1947 - 22 September 1947
80	29020 – 29390	23 September 1947 - 25 September 1947
81	29391 – 29715	26 September 1947 - 30 September 1947
82	29716 – 30152	1 October 1947 - 6 October 1947
83	30153 – 30459	7 October 1947 - 9 October 1947
84	30460 – 30878	10 October 1947 - 14 October 1947
85	30879 – 31312	15 October 1947 - 17 October 1947
86	31313 – 31643	20 October 1947 - 23 October 1947
87	31644 – 32058	24 October 1947 - 20 October 1947
88	32059 – 32431	30 October 1947 - 4 November 1947
89	32432 – 32773	5 November 1947 - 7 November 1947
90	32774 – 33168	10 November 1947 - 13 November 1947
91	33169 – 33539	14 November 1947 - 19 November 1947
92	33540 – 33880	20 November 1947 - 24 November 1947
93	33881 – 34285	25 November 1947 - 1 December 1947
94	34286 – 34602	2 December 1947 - 4 December 1947

<b>95</b>	34603 – 34932	5 December 1947 - 9 December 1947
<b>96</b>	34933 – 35273	10 December 1947 - 12 December 1947
<b>97</b>	35274 – 35652	15 December 1947 - 17 December 1947
<b>98</b>	35653 – 36048	18 December 1947 - 23 December 1947
<b>99</b>	36049 – 36362	24 December 1947 - 29 December 1947
<b>100</b>	36363 – 36727	30 December 1947 - 5 January 1948
<b>101</b>	36728 – 37101	6 January 1948 - 8 January 1948
<b>102</b>	37102 – 37499	12 January 1948 - 15 January 1948
<b>103</b>	37500 – 37833	19 January 1948 - 20 January 1948
<b>104</b>	37834 – 38191	21 January 1948 - 23 January 1948
<b>105</b>	38192 – 38617	26 January 1948 - 30 January 1948
<b>106</b>	38618 – 38947	2 February 1948 - 10 February 1948
<b>107</b>	38948 – 39300	11 February 1948 - 12 February 1948
<b>108</b>	39301 – 39676	13 February 1948 - 16 February 1948
<b>109</b>	39677 – 40087	17 February 1948 - 18 February 1948
<b>110</b>	40088 – 40397	19 February 1948 (Part I)
<b>111</b>	40398 – 40705	19 February 1948 (Part II)
<b>112</b>	40706 – 41112	20 February 1948 - 24 February 1948
<b>113</b>	41113 – 41525	25 February 1948 - 26 February 1948
<b>114</b>	41526 – 41916	27 February 1948 - 1 March 1948
<b>115</b>	41917 – 42270	2 March 1948 - 3 March 1948
<b>116</b>	42271 – 42682	4 March 1948 - 5 March 1948
<b>117</b>	42683 – 43045	8 March 1948 - 9 March 1948
<b>118</b>	43046 – 43420	10 March 1948 - 11 March 1948

<b>119</b>	43421 – 43803	12 March 1948 - 15 March 1948
<b>120</b>	43904 – 44260	16 March 1948 - 17 March 1948
<b>121</b>	44261 – 44646	18 March 1948 - 19 March 1948
<b>122</b>	44647 – 45035	22 March 1948 - 23 March 1948
<b>123</b>	45036 – 45395	24 March 1948 - 25 March 1948
<b>124</b>	45396 – 45757	26 March 1948 - 29 March 1948
<b>125</b>	45758 – 46201	30 March 1948 - 31 March 1948
<b>126</b>	46202 – 46575	1 April 1948 - 2 April 1948
<b>127</b>	46576 – 46968	5 April 1948 - 7 April 1948
<b>128</b>	46969 – 47347	8 April 1948 - 9 April 1948
<b>129</b>	47378 – 47720	12 April 1948 - 13 April 1948
<b>130</b>	47721 – 48158	14 April 1948 - 15 April 1948
<b>131</b>	48159 – 48412	16 April 1948
<b>132 (Judgment)</b>	48413 – 48674	4 November 1948
<b>133 (Judgment)</b>	48675 – 48880	5 November 1948
<b>134 (Judgment)</b>	48881 – 49091	8 November 1948
<b>135 (Judgment)</b>	49092 – 49286	9 November 1948
<b>136 (Judgment)</b>	49287 – 49496	10 November 1948
<b>137 (Judgment)</b>	49497 – 49698	11 November 1948
<b>138 (Judgment)</b>	49698A – 49858	12 November 1948

## Final addresses

The IMTFE Final Addresses is a group of 29 volumes containing the final address of the Prosecution and Defence to the Court. It is a repeat of the corresponding sections of the trial Transcripts. However, they are useful as a quick way of finding the main arguments of the two teams of counsel without sifting through the extraneous conversations, debates, etc. that inevitably ensued when the IMTFE was in progress. The subtitle of each volume (see table below) gives an illustration of the information contained therein. To further make use of these valuable transcripts, use the Index of Final Addresses found in the "Indexes and Finding Guides" section of this inventory.

VOLUME	SUBTITLE	CORRESPONDING TRANSCRIPTS PAGES	ADDRESS HEADING
1	Introductory Statement, Indictment, Aggressive War, Conspiracy, Manchuria, and China	38949 – 39325	Prosecution Secs. A - E
2	Preparation: S. E. Asia, S. W. Pacific, Western Powers, and Co-prosperity Sphere	39326 – 39735	Prosecution Secs. F - G
3	Russia	39736 – 39976	Prosecution Secs. H
4	Conspiracies and Indictment, Atrocities and Appendices	39976 – 40566	Prosecution Secs. I - K
5	Araki, Doihara, Hashimoto, Hata, Hiranuma, Hirota	40568 – 40924	Prosecution Secs. AA - FF
6	Hoshino, Itagaki, Kaya, Kido, Kimura, Koiso	40925 – 41206	Prosecution Secs. GG - LL
7	Matsui, Minami, Muto, Oka, Oshima, Sato	41207 – 41620	Prosecution Secs. MM - RR
8	Shigemitsu, Shimada, Shiratori, Suzuki, Togo, Tojo, Umezui	41621 – 42075	Prosecution Secs. SS - YY
9	Introductory Statement, The Charter, International Law, and Indictment	42076 – 42351	Defence Secs. A - C
10	Conspiracy, Conventional War Crimes, Manchuria, and Atrocities	42352 – 42691	Defence Secs. D - F & M
11	Russia, and China	42697 – 42938	Defence Secs. G - H
12	Japan – German Relations, and Self-Defence	42940 – 43175	Defence Secs. I - J
13	Preparations not Aggressive, and Hata	43177 – 43492	Defence Secs. L & N-4
14	Diplomatic Negotiations U.S.A. with Japan	43493 – 43738	Defence Sec. K
15	Doihara, and Hiranuma	43738 – 43928	Defence Secs. N-2 & N-5
16	Minami	43928 – 44153	Defence Sec. N-14
17	Hirota	44154 – 44358	Defence Sec. N-6
18	Hashimoto, and Oka	44359 – 44561	Defence Secs. N-3 and N-16

19	Kimura, and Koiso	44562 – 44819	Defence Secs. N-11 and N-12
20	Hoshino, Muto, Sato, and Suzuki	44823 – 45109	Defence Secs. N-7, N-15, N-18 and 22
21	Itagaki, and Shimada	45109 – 45470	Defence Secs. N-8 and N-20
22	Araki	45471 – 45714 and 45899 – 46051	Defence Sec. N-1
23	Kaya	45716 – 45899	Defence Sec. N-9
24	Shiratori, and Shigemitsu	46052 – 46407	Defence Secs. N-21 and N-19
25	Kido	46424 – 46774	Defence Sec. N-10
26	Oshima, and Matsui	46776 – 47274	Defence Secs. N-17 and N-13
27	Tojo, and Personal Responsibility	47274 – 47573	Defence Secs. N-24 and 3037
28	Tojo, and Umezu	47574 – 48109	Defence Secs. N-23 and N-25
29	Reply of Prosecution to Defence Summation	48111 – 48412	Prosecution

The IMTFE Final Addresses is a group of 29 volumes containing the final address of the Prosecution and Defence to the Court. It is a repeat of the corresponding sections of the trial transcripts. However, they are useful as a quick way of finding the main arguments of the two teams of counsel without sifting through the extraneous conversations, debates, etc. that inevitably ensued when the IMTFE was in progress. The subtitle of each volume (see table below) gives an illustration of the information contained therein. To further make use of these valuable transcripts, use the Index of Final addresses found in the Indexes and finding guides section of this inventory.

VOLUME	SUBTITLE	CORRESPONDING TRANSCRIPTS PAGES	ADDRESS HEADING
1	Introductory Statement, Indictment, Aggressive War, Conspiracy, Manchuria, and China	38949 – 39325	Prosecution Secs. A - E
2	Preparation: S. E. Asia, S. W. Pacific, Western Powers, and Co-prosperity Sphere	39326 – 39735	Prosecution Secs. F - G
3	Russia	39736 – 39976	Prosecution Secs. H
4	Conspiracies and Indictment, Atrocities and Appendices	39976 – 40566	Prosecution Secs. I - K
5	Araki, Doihara, Hashimoto, Hata, Hiranuma, Hirota	40568 – 40924	Prosecution Secs. AA - FF
6	Hoshino, Itagaki, Kaya, Kido, Kimura, Koiso	40925 – 41206	Prosecution Secs. GG - LL
7	Matsui, Minami, Muto, Oka, Oshima, Sato	41207 – 41620	Prosecution Secs. MM - RR
8	Shigemitsu, Shimada, Shiratori, Suzuki, Togo, Tojo, Umezu	41621 – 42075	Prosecution Secs. SS - YY
9	Introductory Statement, The Charter, International Law, and Indictment	42076 – 42351	Defence Secs. A - C
10	Conspiracy, Conventional War Crimes, Manchuria, and Atrocities	42352 – 42691	Defence Secs. D - F & M
11	Russia, and China	42697 – 42938	Defence Secs. G - H
12	Japan – German Relations, and Self-Defence	42940 – 43175	Defence Secs. I - J
13	Preparations not Aggressive, and Hata	43177 – 43492	Defence Secs. L & N-4
14	Diplomatic Negotiations U.S.A. with Japan	43493 – 43738	Defence Sec. K
15	Doihara, and Hiranuma	43738 – 43928	Defence Secs. N-2 & N-5
16	Minami	43928 – 44153	Defence Sec. N-14
17	Hirota	44154 – 44358	Defence Sec. N-6
18	Hashimoto, and Oka	44359 – 44561	Defence Secs. N-3 and N-16

<b>19</b>	Kimura, and Koiso	44562 – 44819	Defence Secs. N-11 and N-12
<b>20</b>	Hoshino, Muto, Sato, and Suzuki	44823 – 45109	Defence Secs. N-7, N-15, N-18 and 22
<b>21</b>	Itagaki, and Shimada	45109 – 45470	Defence Secs. N-8 and N-20
<b>22</b>	Araki	45471 – 45714 and 45899 – 46051	Defence Sec. N-1
<b>23</b>	Kaya	45716 – 45899	Defence Sec. N-9
<b>24</b>	Shiratori, and Shigemitsu	46052 – 46407	Defence Secs. N-21 and N-19
<b>25</b>	Kido	46424 – 46774	Defence Sec. N-10
<b>26</b>	Oshima, and Matsui	46776 – 47274	Defence Secs. N-17 and N-13
<b>27</b>	Tojo, and Personal Responsibility	47274 – 47573	Defence Secs. N-24 and 3037
<b>28</b>	Tojo, and Umezu	47574 – 48109	Defence Secs. N-23 and N-25
<b>29</b>	Reply of Prosecution to Defence Summation	48111 – 48412	Prosecution

## Digest of transcripts

In the Northcroft collection this group of documents is labelled the Digest of the Transcripts of Proceedings. It is alternately known as the Narrative Summary of the Record. In fact, in most collections it is referred to as the Narrative Summary. This is a 16 volume set (6261 pages) which provides a daily summary of events found in the Transcripts. It often includes exhibit numbers and summaries of the evidence presented to the IMTFE. Each page is given a heading which states the date (e.g. June 2, 1947), the phase (e.g. Defence – Division IV – Russia), and the associated counsel (e.g. Blakeney – Direct) of the IMTFE. This is a useful tool for understanding the general structure of the trial, particularly of the arguments and phases presented by both sides involved. Because it does not include the Prosecution and Defence summations, or the reading of the Judgment the Digest is incomplete. However, it does span from the beginning of the trial until the last admittance of evidence (Exhibit 3915). Nearing the end of Volume 15 of the Digest, the page numeration becomes skewed. In the original, there is a jump from 6095 to 6906 in one page. This is likely a typographical error. However, from that point forward, the numbers follow this new (erroneous?) pattern. In other words, Volume 15 includes two parts: 1) Pages 5601 – 6095, and 2) Pages 6906 – 6937. Confusing matters even further, someone has corrected the “typo” with an overlaid new ink-written page sequence. This gives the second section of Volume 15 two sets of page numbers. The original lists pages 6906 – 6937. The amended version lists pages 6095 – 6137. This practise is not continued in Volume 16. Its page numbers stretch from 6938 – 7071. In terms of the actual length of the entire set of the Digest, and in continuing the “corrected” version of the page numbers from Volume 15, Volume 16 could alternately be paginated 6128 – 6261. The table below records the page numbers in their original, if incorrect, format. Volume 15 is thus labelled 5601 – 6937 and Volume 16 is labelled 6938 – 7071.

VOLUME	CORRESPONDING TRANSCRIPTS PAGES	DATE RANGE	DIGEST PAGES
1	1 – 3174	29 April 1946 - 5 August 1946	1 – 400
2	3176 – 5534	5 August 1946 – 13 September 1946	401 – 800
3	5536 – 8255	13 September 1946 - 21 October 1946	801 – 1200
4	8260 – 10437	21 October 1946 - 13 November 1946	1201 – 1600
5	10442 – 12699	14 November 1946 - 12 December 1946	1601 – 2000
6	12700 – 15276	12 December 1946 - 15 January 1947	2001 – 2400
7	15277 – 18942	15 January 1947 - 21 March 1947	2401 – 2800
8	18943 – 21224	21 March 1947 - 1 May 1947	2801 – 3200
9	21226 – 23423	1 May 1947 - 2 June 1947	3201 – 3600
10	23428 – 26232	2 June 1947 - 19 August 1947	3601 – 4000
11	26244 – 28215	19 August 1947 - 10 September 1947	4001 – 4400
12	28216 – 30465	10 September 1947 - 10 October 1947	4401 – 4800
13	30466 – 32442	10 October 1947 - 5 November 1947	4801 – 5200
14	32443 – 34428	5 November 1947 - 3 December 1947	5201 – 5600
15	34429 – 37167	3 December 1947 – 12 January 1948	5601 – 6937
16	37219 – 46778	13 January 1948 - 10 February 1948	6938 – 7071

## Motions, rulings and orders of the IMTFE

This section of the Northcroft collection deals with several groupings of IMTFE documents. The first contains all motions presented to the tribunal. These range from procedural motions to requests of a mistrial. These motions, rulings, and orders were read either in open court or in chambers. The second section includes the Orders on Motions Presented to the Court Volumes I and II. These are the IMTFE justices' replies and directives concerning the various motions presented to court. The final section is made up of the Rulings of the International Military Tribunal for the Far East. In the words of the International Prosecution Section (IPS), "This document represents an effort to codify the more important rulings of the IMTFE. The decisions included were selected because they deal with significant specific questions of law or contain statements of the President on general propositions of law".

TITLE	AUTHOR	CORRESPONDING TRANSCRIPTS PAGES OR DATES	SIZE
<b>Motions Presented to the Court Volume I</b>	Prosecution and Defence	3 May 1946 – 14 October 1946	273 pages
<b>Motions Presented to the Court Volume II</b>	Prosecution and Defence	15 October 1946 – 30 April 1948	273 pages
<b>Motions to Dismiss Counts of the Indictment (On Behalf of Individual Defendants)</b>	Defence	Pages 16275 – 16650	375 pages
<b>Motions to Dismiss Indictment at Close of Prosecution's Case (On Behalf of All Defendants Collectively)</b>	Defence	Pages 16663 – 16713 (argument evidence not read)	78 pages
<b>Motions, Orders, etc. Concerning Matsuoka and Okawa</b>	IMTFE and Defence		59 pages
<b>Orders on Motions Presented to the Court Volume I</b>	IMTFE	29 April 1946 – 27 December 1946	247 pages
<b>Orders on Motions Presented to the Court Volume II</b>	IMTFE	31 December 1946 – 20 April 1948	207 pages
<b>Rulings of the IMTFE (IPS Document No. 0006)</b>	Prosecution		363 pages

## Prosecution documents

The “Prosecution Documents” section of the Northcroft Collection is one of its most assorted sections. This is because a number of prosecution documents (the General Index of the Record of the Prosecution Case (IPS Document Number 0005) for example) are better suited and placed in other sections of the Northcroft collection. The “Prosecution Documents” section contains several interesting and valuable pieces of IMTFE documentation. Beyond the expected copies of the Prosecution’s Opening Statement and the Indictment, several other important Prosecution works are included. There are the replies to the Defence’s motions that are repeats of the Transcripts. Prosecution Evidence against Individual Accused is made up of 4 volumes (983 Pages). These volumes summarise the evidence against each defendant, including the counts of the Indictment charged against. They also include a description of the personal career of each of the Accused, including honours and decorations awarded to the defendant in question, and a chronological summary of “guilty” activities of the each defendant. This is done in alphabetical order. Please note that for no clear reason, Volume III (Oshima – Shiratori) does not include the summaries for the following defendants: Shigemitsu Mamoru, Shimada Shigetarō, or Suzuki Teiichi.

Unique to the Northcroft collection are the following documents: The Japanese Constitution and Government written and compiled by Brigadier H. G. Nolan, Canadian Associate Prosecutor, and Mr. Solis Horwitz, United States Attorney. This includes Nolan’s treatment, “An Examination of the [Japanese] Constitution and its Bodies of Government” and Horwitz’, “Gaps, Gray Areas, and Extra-Constitutional Bodies”. This work also includes notes by Lord Patrick, Member from Great Britain for the IMTFE. P. Lopez’, Associate Prosecutor for the Philippines, The Trial Scenario of Class C Offences in General and Class B and C Offences in the Philippines involves documents and evidence concerning Phases XIII and XIX of the Prosecution case. More specifically, Trial Scenario of Class C Offences includes: “The Opening Statement of the Associate Prosecutor of the Philippines on Class C Offences in General and Class B and C Offences in the Philippines” and exhibits and evidence supporting these accusations. These are mostly accounts of Japanese atrocities committed in the Philippines. Finally – and most unique to the Northcroft collection – is Brigadier R. H. Quilliam’s [Associate Prosecutor for New Zealand] Report on Proceedings of the IMTFE [To Prime Minister of New Zealand] (4 April 1948). This includes Brigadier Quilliam's thoughts on the IMTFE. Most notable is his harsh critique of Chief Prosecutor Joseph B. Keenan's ability and the negative impact that he had on the trial. This document is divided into the following sections: "Scope of Report"; "Causes of Proceedings being Protracted"; "Constitution of the Tribunal"; "Summary of Charter Provisions (as Amended)"; "Comments: a) Numerical Strength of Tribunal, b) Mixed Nationalities"; "Recommendations"; "The Prosecution"; "The Indictment"; "Aggressive War"; "Expediency of Holding Further Trials"; and "Was the Trial Justified".

TITLE	AUTHOR	CONTENT	SIZE
<b>Reply to Defence's Motions to Dismiss (IPS Document Number 0002)</b>	Eugene Williams, Special Assistant to Chief of Counsel, Prosecution	Transcripts 16713 - 16767	54 pages
<b>Reply to Defence's Motions (IPS Document Number 0003)</b>	Arthur Streltell Comyns Carr, Associate Prosecutor for the United Kingdom	Transcripts 16768 - 16989	221 pages
<b>Opening Statement of the Prosecution</b>	Joseph B. Keenan, Chief of Counsel, Prosecution (US)	Opening Statement of the Prosecution	55 pages
<b>Indictment</b>		Indictment (Taken from the Nippon Times 1 - 9 May 1946)	19 pages
<b>The Japanese Constitution and Government</b>	Brigadier H. G. Nolan Associate Prosecutor for Canada and Mr. Solis Horwitz Assistant Prosecutor for the US		121 pages
<b>Trial Scenario of Class C Offences in General and Class B and C Offences in the Philippines</b>	Mr. P. Lopez, Associate Prosecutor for the Philippines		237 pages
<b>Report on Proceedings of the IMTFE [To Prime Minister of New Zealand]</b>	Brigadier R. H. Quilliam, Associate Prosecutor for New Zealand		24 pages
<b>Prosecution Evidence Against Individual Accused Volume I</b>		Araki – Kaya	231 pages
<b>Prosecution Evidence Against Individual Accused Volume II</b>		Kido – Oka	276 pages
<b>Prosecution Evidence Against Individual Accused Volume III</b>		Oshima – Shiratori	150 pages
<b>Prosecution Evidence Against Individual Accused Volume IV</b>		Togo - Umezu	226 pages

## Proceedings in chambers

The Proceedings in Chambers are the transcripts of any IMTFE proceedings not performed in open court. These are not to be overlooked, however, for they include much material unique from the rest of the Transcripts. The Proceedings in Chambers contains mostly discussions of jurisdiction, juridical procedure, and other matters of law. It also has a number of interesting discussions regarding more personal requests of various members involved in the trial. One example of this is the discussions regarding permitting Hirota Kōki to attend his wife's funeral. This section is divided into 6 volumes totalling 1690 pages. It should be noted that several of the Proceedings in Chambers are out of order. Be sure to check several entries on either side of the entry of interest. 7 January 1948, for example, is found between 10 December 1947 and 6 January 1948. To assist in cross-referencing with the trial Transcripts, page ranges for each volume are given. There is unfortunately no index available at the University of Canterbury for the Proceedings in Chambers. However, each issue is well labelled making it less time consuming to skim through the entire 6 volumes. Some of the discussions that occurred in Chambers were initiated in open court. Therefore, the Transcripts and Pritchard and Zaide's Comprehensive Index and Guide to the Proceedings of the International Military Tribunal for the Far East (1987) can be of some assistance in terms of pointing one in the right direction within the Proceedings in Chambers.

The Northcroft collection is missing the following Proceedings in Chambers entries. It is otherwise complete:

29 April 1946  
4 May 1946 (1 page)  
11 May 1946 (9 pages)  
24 June 1946 (known to be missing)  
9 September 1946 (known to be missing)  
31 January 1947 (RE Okawa's medical condition. Untraceable)  
9 April 1947 (RE Okawa's medical condition. Untraceable)  
15 May 1947 (15 pages)  
24 June 1947 (2 pages)  
13 August 1947 (9 pages)  
29 August 1947 (known to be missing)  
10 December 1947 (10 pages)  
1 October 1948 (known to be missing)  
10 October 1948 (known to be missing)

VOLUME	DATE RANGE	PAGES
1	8 May 1946 - 24 July 1946	319 pages
2	30 July 1946 - 15 November 1946	302 pages
3	18 November 1946 - 26 February 1947	269 pages
4	4 March 1947 – 24 June 1947	351 pages
5	10 July 1947 - 3 December 1947	262 pages
6	5 December 1947 - 28 September 1948	187 pages

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## Exhibits

The IMTFE Exhibits are one of the trial's most valuable legacies. The Exhibits are made up of a 93 volume (3916 exhibits) compilation of evidence from both the Prosecution and the Defence. Evidence from countless topics involved with the general history of East Asia in the first half of the twentieth century is amassed in the Exhibits. Particular focus is given to the growth of Japanese Imperialism. The Exhibits are one of the Northcroft collection's most distinguishing sections. Only a handful of other libraries and archives in the world have as extensive a collection of IMTFE exhibits.

The Northcroft collection's volumes of Exhibits are remarkably complete. Only three exhibits are missing outright. These missing exhibits are Exhibit 858 "Chart of Gold Production in Japanese Empire 1925 - 1941", Exhibit 860 "Chart of Expansion of Industry in Japan Proper 1931 - 1941", and Exhibit 882 "Copies of a Map Prepared and Issued by the American Army Map Service Showing Mandated Islands of Japan and Their Situation with Respect to Other Countries". The whereabouts of these is unknown and they are likely lost. A Note from Justice Northcroft on the exhibit prior to Exhibit 882 says that the map was put in a "Judge's Map Folder". Perhaps the same happened to the charts of Exhibit 858 and Exhibit 860. The location of this "Judge's Map Folder" is unknown.

Otherwise, the Northcroft collection of Exhibits is complete. The list below marks many exhibits other than the three mentioned above as "missing". Beside each of these entries is a brief explanation of the reason for the omission of the particular exhibit. A number of these exhibits (Charts, Maps, Books, Scrolls, etc.) were not officially admitted into evidence because of their size. Most of these oversized exhibits were marked for identification only and were never meant to be included in a collection of the evidence. Often, excerpts from such exhibits were entered into evidence as substitutes. The Northcroft collection has these excerpts. Others were rejected by the tribunal and thus not admitted into evidence. The exhibits listed below as "missing" are the only missing exhibits of the entire Northcroft collection. Unless indicated as "missing" below, the Northcroft collection has all other exhibits. This includes exhibits that were "rejected", "revised", "withdrawn", or marked "for identification only" during the IMTFE. Many of these are likely unique to the Northcroft collection.

Kenneth M. Wells' **Index to the Records of the International Military Tribunal for the Far East (Japanese War Trials 3 May 1946 – 4 November 1948)** (1983), is the best tool for sorting through the vast number of exhibits. This invaluable index links place names, events, personalities, and other notable ideas to specific exhibit numbers. Wells' index was made using the Northcroft collection and is perfectly suited for use at the University of Canterbury. It can be found in both the Macmillan Brown Library (call number JX 6731 .W3 .I61 Index a) and the Central Library (ask at the Information Services Department for the History Librarian) of the University of Canterbury. Use the table below to determine what volume of the Exhibits the desired exhibit is in. Request this volume from the Macmillan Brown Library staff. Use the Index of Exhibits for further details on specific exhibits. This is particularly useful when used in conjunction to Wells' index. The Index of Exhibits gives the name of each exhibit. Wells' index only gives the

exhibit number. The Index of Exhibits is found in the Northcroft collection's "Indexes and Finding Guides" section. To link an exhibit number from either the Index of Exhibits or Wells' index, refer to "A Brief Guide to Trial Phases and Divisions" (1xvii-1xxi) in Pritchard and Zaide's **Comprehensive Index and Guide to the Proceedings of the International Military Tribunal for the Far East (1987)**

VOLUME	EXHIBIT NUMBERS	MISSING EXHIBITS, MISCELLANEOUS NOTES
1	1 - 32	Needs to be rebound. Includes copies of Northcroft's letters of donation to the University College of Canterbury
2	33 - 57	
3	58 - 99	Needs to be rebound
4	100 - 125	
5	126 - 162	Missing Exhibit 148 B - Exhibit 148 M (film) and Exhibit 149 (photographs. Identification only)
6	163 - 231	Missing Exhibit 202 (scroll), Exhibit 203 (book), and Exhibit 204 (rejected)
7	232 - 275	
8	276 - 371	
9	372 - 432	Needs to be rebound
10	433 - 454	
11	455 - 475	
12	476 - 525	
13	526 - 590	Missing Exhibit 540 (identification only)
14	591 - 639	
15	640 - 682	
16	683 - 714	
17	715 - 750	
18	751 - 808	Missing Exhibit 785 (identification only)
19	809 - 839	
20	840 - 852	
21	853 - 871	Missing Exhibit 858 and Exhibit 860 (lost)
22	872 - 920	Missing Exhibit 882 (map. Note on previous exhibit from Justice Northcroft says this map was put in "Judge's Map Folder". Likely lost)

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<b>23</b>	921 - 1027	
<b>24</b>	1028 - 1102	
<b>25</b>	1103 - 1196	
<b>26</b>	1197 - 1251	Missing Exhibit 1248 (book. Identification only)
<b>27</b>	1252 - 1272	Exhibit 1255 precedes Exhibit 1254
<b>28</b>	1273 - 1320	
<b>29</b>	1321 - 1350	
<b>30</b>	1351 - 1382	
<b>31</b>	1383 - 1436	
<b>32</b>	1437 - 1502	
<b>33</b>	1503 - 1553	
<b>34</b>	1554 - 1597	
<b>35</b>	1598 - 1643	
<b>36</b>	1644 - 1695	Bound upside down
<b>37</b>	1696 - 1727	
<b>38</b>	1728 - 1775	
<b>39</b>	1776 - 1816	
<b>40</b>	1817 - 1874	
<b>41</b>	1875 - 1915	
<b>42</b>	1916 - 1964	
<b>43</b>	1965 - 2015	
<b>44</b>	2016 - 2024	
<b>45</b>	2025 - 2058	
<b>46</b>	2059 - 2128	

<b>47</b>	2129 – 2183	
<b>48</b>	2184 – 2228	
<b>49</b>	2229 – 2282	
<b>50</b>	2283 – 2323	Needs to be rebound. Missing Exhibit 2285 – Exhibit 2286 Evidence Number 17280 (not admitted, objection upheld), Missing Exhibit 2290 (book. Identification only), and Exhibit 2320 – Exhibit 2322 Evidence Numbers 17453, 17455, 17460, 17463 X2, 17464 X3 (not admitted)
<b>51</b>	2324 – 2368	Missing Exhibit 2334 - Exhibit 2336 (identification only)
<b>52</b>	2369 – 2405	
<b>53</b>	2406 – 2437	
<b>54</b>	2438 – 2445	
<b>55</b>	2446 – 2476	
<b>56</b>	2477 – 2505	Missing Exhibit 2478 (book. Identification only), and Exhibit 2504 (rejected)
<b>57</b>	2506 – 2542	
<b>58</b>	2543 – 2583	
<b>59</b>	2584 – 2591	Missing Exhibit 2589 (book. Identification only)
<b>60</b>	2592 – 2656	Missing Exhibit 2611 – Exhibit 2612 (books. Identification only), Exhibit 2629 (map. Identification only), Exhibit 2648 (book. Identification only), Exhibit 2651 – Exhibit 2652 (books. Identification only), Exhibit 2653 (map. Identification only), and Exhibit 2654 (book. Identification only)
<b>61</b>	2657 – 2720	
<b>62</b>	2721	
<b>63</b>	2722 – 2770	Missing Exhibit 2766 (book. Identification only)
<b>64</b>	2771 – 2820	Missing Exhibit 2798 (book. Identification only)
<b>65</b>	2821 – 2893	
<b>66</b>	2894 – 2987	
<b>67</b>	2988 – 3010	
<b>68</b>	3011	

<b>69</b>	3012 – 3054	
<b>70</b>	3055 – 3093	
<b>71</b>	3094 – 3127	Missing Exhibit 3109 Evidence Number 27802 (cancelled, then revised)
<b>72</b>	3128 – 3144	
<b>73</b>	3145 – 3170	
<b>74</b>	3171 – 3220	Missing Exhibit 3201 (identification only)
<b>75</b>	3221 – 3270	
<b>76</b>	3271 – 3311	Missing Exhibit 3295 (rejected)
<b>77</b>	3312 – 3339	
<b>78</b>	3340	
<b>79</b>	3341 – 3377	
<b>80</b>	3378 – 3410	Missing Exhibit 3384 – Exhibit 3384 A (identification only)
<b>81</b>	3411 – 3461	
<b>82</b>	3462 – 3479	
<b>83</b>	3480 – 3515	Exhibit 3491 precedes Exhibit 3490
<b>84</b>	3516 – 3562	Exhibit 3537 comes in between Exhibit 3544 and Exhibit 3545. Missing Exhibit 3553 (book. Identification only)
<b>85</b>	3563 – 3592	Missing Exhibit 3568 (identification only), Exhibit 3570 (identification only), Exhibit 3572 (book. Identification only), and Exhibit 3591 (book. Identification only)
<b>86</b>	3593 – 3623	
<b>87</b>	3624 – 3654	
<b>88</b>	3655	
<b>89</b>	3656 – 3686	
<b>90</b>	3687 – 3752	Missing Exhibit 3740 Evidence Numbers 37317 and 37319 (rejected)
<b>91</b>	3753 – 3837	
<b>92</b>	3838 – 3858	
<b>93</b>	3859 – 3916	Includes Exhibit 3916 A and Exhibit 3916 B not admitted in Transcripts of the trial. These are letters from acting head of the Tribunal, Justice Northcroft, to General MacArthur asking on behalf of the Defence Counsel, for American and British lawyers to be appointed to help the Japanese defence lawyers. Dated 15 March 1946. Includes MacArthur's reply in affirming the request

## Judgment and annexes

The IMTFE Judgment and Annex is the final decision of the majority of the eleven representative justices. Its sections include the following topics:

**Part A** is made up of: Chapter I: “The Establishment and Proceedings of the Tribunal”; Chapter II “The Law”; and Chapter III.

**Part B** contains: Chapter IV: “The Military Domination of Japan and Preparation for War”; Chapter V: “Japanese Aggression against China” – Section I “Invasion and Occupation of Manchuria: The China War and Its Phases”, Section II “Consolidation and Exploitation of Manchuria”, Section III “The Plan to Advance Further into China”, and Section IV “From the Marco Polo Bridge Incident (7 July 1937) to the Konoye Declaration of 16 January 1938”; Chapter VI: “Japanese Aggression Against the USSR”; Chapter VII: “The Pacific War”; Chapter VIII: “Conventional War Crimes (Atrocities)”; Chapter IX: “Findings on Counts of the Indictment”; and Chapter X: “Verdicts”.

The Annexes include: Volume I: – Annex A-1 to A-6 “Documents Relating to the Establishment and Organisation of the IMTFE”, Appendix A “Summary of Particulars of Prosecution Evidence”, Appendix B “List of Articles of Treaties Violated by Japan and Incorporated in Groups One and Two [of Indictment]”, Appendix C “List of Official Assurances Violated by Japan and Incorporated in Group One [of Indictment]”, Appendix D “The Conventions and Assurances Violated by Japan and Incorporated in Group Three [of Indictment]”, Appendix E “Statement of Individual Responsibility for Crimes Set Out in the Indictment”; and Volume II: – Annexes B-1 to B-22-a “Texts of Assurances, Conventions, and Treaties Signed and Agreed to by Japan and Mentioned in the Judgment”.

SECTION	SUBSECTION	PAGES
<b>Part A</b>	Chapter I, II, and III	1 – 82
<b>Part B</b>	Chapter IV (in part)	83 – 280
<b>Part B</b>	Chapter IV (contd.)	281 – 520
<b>Part B</b>	Chapter V (in part)	521 – 647
<b>Part B</b>	Chapter V (contd.), and VI	648 – 842
<b>Part B</b>	Chapter VII	843 – 1000
<b>Part B</b>	Chapter VIII	1001 – 1136
<b>Part C</b>	Chapters IX, and X	1137 – 1211
<b>Annexes to Judgment</b>	Volume I	1 – 131
<b>Annexes to Judgment</b>	Volume II	1 – 199

## Appendage documents related to the judgment

The "Appendage Documents Related to the Judgment" section was created as a separate section of the Northcroft collection for this inventory. It is one of the most unique sections of the Northcroft collection. In fact, it is made up entirely of material that is believed to be unique to the University of Canterbury. If this material is not in fact unique, it is certainly rare and not found in many of the other IMTFE collections.

Captain Quentin-Baxter's [Assistant to Justice E. H. Northcroft] References in Judgment to Individual Accused is a tool designed to help determine the role the individual defendants played in Japan's "aggressive" activities. It provides page references (from the Judgment and Annexes) for each defendant's name. This index contains references to an annexure to Part B of the Judgment also prepared by Captain Quentin-Baxter for Justice Northcroft. Unfortunately, this annexure is not in the Northcroft Collection. A useful companion to Quentin-Baxter's index is the Individual Accused in Judgment. This is a two volume set that summarises evidence against, and gives statements of the activities of, each defendant in relation to the Judgment. It also provides page references to the Judgment. There is a letter affixed to the beginning of Volume I from Justice Mei Ju-Ao to Justice Cramer (Chairman of the drafting committee). It regards an attached schedule of the statements and activities of several accused (Doihara, Hata, Itagaki, Matsui, and Minami). This implies that the Individual Accused in Judgment was compiled by the staff of Justice Mei. It is unclear if the entire set was made by the Chinese staff, however, or if the work was spread out among the other nations involved.

The Study on Prosecution's Phases on Japan's "Aggressive" War was created at the direction of the Office of the President of the IMTFE, Sir William Floor Webb of Australia. Justice Northcroft's handwritten notes on the volumes show that the majority of this work was made by a Mrs. Morris, a member of President Webb's staff. Mr. Yang, assistant to Justice Mei Ju-Ao, also wrote some of it. The Study on Prosecution's Phases is divided into two volumes. Volume I: includes: "Study on Prosecution's Phase on Domination of North China and China" (31 pages); "Preparation of Japanese Public Opinion, Phase II, Prosecution" (21 pages); "Japan's Southward Advance, Prosecution, Excluding Atrocity Phases: French Indo-China and other Continental Areas" (71 pages); "Japan's Southward Advance, Prosecution and Defence Phases: Great East Asia Co-Prosperity Sphere" (54 pages); and "Southward Advance, Prosecution, Exclusive of Atrocities: Netherlands East Indies" (42 pages). Volume II: includes: "Japanese Military and Political Aggression in the Rest of China (Condensed from the Prosecution's Evidence)" (149 pages); "Economic Aggression, Prosecution's Case" (25 pages); and "Study of Prosecution's Phase on Military and Political Domination of Manchuria" (30 pages).

Like the Study on Prosecution's Phases, General Cramer's Study of Evidence Relating to Manchuria (205 pages) summarises the evidence of the Prosecution. In this case, Justice Cramer focuses on the Prosecution's arguments relating to Japanese "aggression" in Manchuria. Justice Cramer divides his study into four sections. Section 1: "Military Aggression in Manchuria"; Section 2: "Political Aggression in Manchuria";

Section 3: “Economic Aggression in Manchuria”; and Section 4: “Economic Aggression in China”. Justice Cramer’s study includes references to relevant exhibit numbers and page numbers of the Transcripts.

Other interesting parts of the “Appendage Documents Related to the Judgment” section of the Northcroft collection are the separate writings of Justice B. V. A. Röling (the Netherlands) and Justice Henri Bernard (France). These not only give insight into the thoughts of the two justices in question, but also reveal parts of the drafting process of the Judgment. Röling, and Bernard on Judgment includes draft suggestions under the following headings: Bernard "Remarks Concerning the Draft of Judgment of the Majority Part B Chapter V Volume I Section I pp. 584 - 601" (25 pages); "Remarks Concerning the Draft of Judgment of the Majority, Part B Chapter V Volume I, Section I pp. 521 - 584" (55 pages); "Remarks Suggested by Draft of Judgment, Part A, Chapter III" (9 pages); "Deliberations" (2 pages); "Remarks Suggested by Part A, Chapter I (7 pages); and "Remarks Suggested by Part A, Chapter III" (3 pages). Röling: "Alterations Suggested in Part A, Chapter I of the Judgment" (5 pages); "Alterations Suggested in Part B, Chapter I [Final Judgment Part A Chapter III] of the Judgment" (4 pages); "Draft Judgment Part B, Chapter II [Final Judgment Part A Chapter III and Part B Chapter IV]" (4 pages); "Annex BB, War Crimes, Volume I and Volume II" (5 pages); "Judgment Part B, Chapter III [Final Judgment Part B, Chapter VI], 'Japanese Aggression Against the USSR'" (67 pages); and "Some Points of Law" (55 pages). The Northcroft collection also includes Justice Röling on Conventional War Crimes (128 pages) which is a legal treatise done by Justice Röling on the trial of conventional war crimes.

TITLE	SUBSECTION	AUTHOR	PAGES
<b>References in Judgment to Individual Accused</b>		Captain Quentin-Baxter [Assistant to Justice E. H. Northcroft (New Zealand)]	153 pages
<b>Individual Accused in Judgment Volume I</b>	Volume I Defendants Alphabetical A - K		237 pages
<b>Individual Accused in Judgment Volume II</b>	Volume II Defendants Alphabetical M - U		201 pages
<b>Study on Prosecution’s Phases on Japan’s “Aggressive” War</b>	Volume I	Mrs. Morris [from the Office of President Sir William Flood Webb (Australia)]	219 pages
<b>Study on Prosecution’s Phases on Japan’s “Aggressive” War</b>	Volume II	Mrs. Morris [from the Office of President Sir William Flood Webb (Australia)] and Mr. Yang [Assistant to Justice Mei Ju-Ao (China)]	204 pages
<b>General Cramer’s Study of Evidence Relating to Manchuria</b>		Justice Major General Myron C. Cramer (USA)	205 pages
<b>Röling and Bernard on Judgment [Draft Suggestions]</b>		Justice B. V. A. Röling (Netherlands) and Justice Henri Bernard (France)	231 pages
<b>Justice Röling on Conventional War Crimes</b>		Justice B. V. A. Röling (Netherlands)	128 pages

## Separate opinions of the justices

One of the most controversial aspects of the Tokyo Trial is the fact that five of the eleven justices (including the President of the Tribunal Sir William Webb) submitted opinions on the Judgment separate from the Majority. Justice Radhabinod Pal, Justice B. V. A. Röling and Justice Henri Bernard each expressed dissenting opinions. Justice Webb logged no formal dissent, but his separate opinion was critical of several portions of the IMTFE. Justice Delfin Jaranilla put forward a concurring opinion with some differing objections. Most specifically, Jaranilla felt that stricter punishments could have been applied to several of the accused. The Northcroft collection has copies of each of these separate opinions. It also has a unique view of Justice Northcroft's views on the trial. This takes the form of a report to the Prime Minister of New Zealand regarding the IMTFE.

The Dissenting Opinion of the Member from India on Judgment is a massive 1235 pages. It is set into three volumes, and made up of seven parts. These include: Part I: "Preliminary Questions of Law"; Part II: "What is 'Aggressive War?'"; Part III: "Rules of Evidence and Procedure"; Part IV: "Overall Conspiracy" – First Stage: "Obtaining Control of Manchuria", Second Stage: "The Expansion of Control and Domination from Manchuria to all the Rest of China", Third Stage: "The Preparation of Japan for Aggressive War Internally and by Alliance with Axis Powers", and Final Stage: "The Further Expansion of the Conspiracy into the Rest of East Asia and the Pacific and Indian Ocean by Further Aggressive Wars"; Part V: "Tribunal's Jurisdiction"; Part VI: "War Crimes *stricto sensu*" – Section I: "Charges of Murder and Conspiracy", Section II: "Against the Civil Population of the Territories Occupied by Japan", and Section III: "Against the Prisoners of War"; and Part VII: "Recommendation".

The Separate Opinion of the Member from the Netherlands on Judgment includes: Part I: "Introduction"; Part II: "Observations on the Law": Section I: "Jurisdiction", Section II: "Crimes against Peace", and Section III: "Responsibility for Omission"; Part III: "Observations on the Facts"; Part IV: "Appendices"; and Part V: "The Verdicts in the Individual Cases".

The opinions of the Members from France, the Philippines, and Australia were bound together in a single volume for this inventory. The Dissenting Judgment of the Member from France of the IMTFE includes: Part I: "Constitutionality of the Creation of the Tribunal"; Part II: "Jurisdiction of the Tribunal"; Part III: "The Substantive Law"; Part IV: "Conventional War Crimes"; Part V: "Opinion Relative to the Proceedings of the Tribunal"; and Part VI: "Verdict and Sentences".

The Concurring Opinion of the Member from the Philippines includes: Part I: "Conspiracy under the Charter"; Part II: "Counts on Planning, Preparation and Initiation of Wars of Aggression Disregarded"; Part III: "Counts on Murder and Other Atrocities"; Part IV: "Conspiracy with Germany and Italy"; Part V: "Objections to the Tribunal"; Part VI: "The Maxim '*nullum crimen, sine lege, nulla poena sine lege*'"; Part VII: "On Individual Responsibility"; Part VIII: "Atomic Bomb"; Part IX: "Dissenting Opinion of the Member from India"; Part X: "Incommensurate Penalties"; and Part XI: "Conclusion".

The Separate Opinion of the President of the IMTFE includes: Part I: "The Law"; Part II: "Crimes against Peace"; Part III: "Self-Defence"; Part IV: "Individual Responsibility"; Part V: "Conspiracy"; Part VI: "General"; Part VII: "Punishment"; Part VIII: "Immunity of the Emperor"; and Part IX: "Sentences".

Justice Northcroft wrote two replies to the queries made by the government of New Zealand. The first, his Letter to the Right Honourable the Prime Minister RE: The Tokyo Trials 1946 – 1948, includes: Part I: "Adequacy of Publicity Arrangements for the Trial"; Part II: "Differences in International Political Thought"; Part III: "Expediency of Death Sentences", Part IV: "Japanese Cooperation"; Part V: "Number of Judges"; Part VI: "Choice of President and of Chief Prosecutor"; Part VII: "Provision of American Counsel"; Part VIII: "Secretariat and Prosecution Section"; Part IX: "Language Difficulties"; and Part X: "Difficulties from Diverse Legal System".

Northcroft's second reply was his Memorandum for the Prime Minister upon the Tokyo Trials 1946 – 1948. This includes: Part I: "Basis of the Tribunal's Jurisdiction"; Part II: "Binding Effect of the Charter"; Part III: "The Value and Significance of the Trial in International Law"; Part IV: "Effect of Dissenting Judgments"; Part V: "Classes of Crimes Charged"; Part VI: "The Nature of Crimes Against Humanity"; Part VII: "The Two Main Classes of Charge"; Part VIII: "The Enquiry Into Conventional War Crimes"; Part IX: "Charges of Aggression Depended upon Conspiracy"; Part X: "The Enquiry into Crimes against Peace"; Part XI: "Reasons for the Protracted Trial"; Part XII: "General Findings of the Tribunal upon the Facts"; Part XIII: "Individual Responsibility for Crimes against Peace"; Part XIV: "The Trial Not Inadequate in Scope"; Part XV: "Impossibility of Dispensing with Proof that Japan Was the Aggressor"; Part XVI: "Moral and Political Criticisms of the Trial"; Part XVII "The Doctrine of the Unity of the State in Relation to Criminal Liability of Individuals"; Part XVIII "Value of the Tokyo Trial in Relation to the Future of Japan"; Part XIX: "Deterrent Effect of the Trial"; and Part XX: "Need for a Permanent International Criminal Court".

## **Miscellaneous**

The “Miscellaneous” section of the Northcroft collection is another section that was created in the making of this inventory. Unique to the Northcroft collection are the envelope of correspondence, the envelope of photographs, and the Biographical. All photographs are also in digital form. Contact the Macmillan Brown Library for copyright information and access to the photographs. The Charter, Indictment, and Japanese Constitution was prepared by Major General Richard J. Marshall, (General Staff Corps, Chief of Staff. General Headquarters Supreme Commander for the Allied Powers). The Northcroft collection is also particularly fortunate to include a full and contemporary – possibly original – copy of the International Military Tribunal at Nuremberg’s Judgment and Rulings.

The envelope of correspondence includes correspondence between Justice Northcroft and C. W. Collins (Librarian University of Canterbury College) and the Chairman of the College Council of University of Canterbury College, in January 1949. It also includes correspondence between the Librarian of University of Canterbury College and the New Zealand Department of External Affairs in July - August 1949 regarding attaining copies of the IMTFE

Judgment to fill in gaps left by Northcroft's donations.

TITLE	SUBSECTION/CONTENT	DATE	PAGES
<b>Biographical</b>	One volume		185 pages (total)
	Biographical Sketches of Members of International Military Tribunal for the Far East (From Press Release)	23-Oct-46	7 pages
	List of Japanese Cabinet Ministers (20 April 1927 to 22 May 1946)		1 page
	List of Japanese Cabinet Ministers (20 April 1927 to 5 October 1945)		1 page
	Biographical Index of Defendants and their Counsel	9-Sep-46	176 pages
<b>Charter, Indictment, and Japanese Constitution</b>	One volume		119 pages (total)
	Charter of the International Military Tribunal for the Far East	26-Apr-46	8 pages
	Indictment (Taken from the Nippon Times)	1 - 9 May 1946	51 pages
	Summary of Indictment		15 pages
	Organization of the Japanese Government		45 pages
<b>Envelope of Photographs</b>			23 Photos (total)
	The Bench [9 sitting justices]	March 1947	1 Photo
	Kaya Okinori, Former Finance Minister testifying in his own Defence	14-Oct-47	1 Photo
	Araki Sadao, Former Japanese War Minister, testifying in his own defence	10-Sep-47	1 Photo
	Togo Shigenori, Former Japanese Foreign Minister, testifying in his own defence	18-Dec-47	1 Photo
	Suzuki Teiichi testifying in his own defence	12-Dec-47	1 Photo

Shiratori Toshio, Former Ambassador to Italy, testifying in his own defence	11-Dec-47	1 Photo
Shimada Shigetaro, Former Foreign Minister, testifying in his own defence	5-Dec-47	1 Photo
Oshima Hiroshi, Former Ambassador to Germany, testifying in his own defence	25-Nov-47	1 Photo
Oka Takazumi, Former Vice Admiral in the Japanese Navy, testifying in his own defence	18-Nov-47	1 Photo
Hiranuma Kiichiro testifying in his own defence	25-Aug-47	1 Photo
Kaya Okinori, Former Finance Minister. Posed photo	25-Aug-47	1 Photo
Araki Sadao, Former Japanese War Minister. Posed photo	25-Aug-47	1 Photo
Muto Akira testifying in his own defence	14-Nov-47	1 Photo
Hirota Koki, Former Prime Minister, testifying in his own defence	25-Aug-47	1 Photo
Hashimoto Kingoro. Posed photo	25-Aug-47	1 Photo
Kido Koichi. Posed photo	25-Aug-47	1 Photo
Kimura Heitaro. Posed photo	25-Aug-47	1 Photo
Umezu Yoshijiro. Posed photo	25-Aug-47	1 Photo
Oshima Hiroshi, Former Ambassador to Germany. Posed photo	25-Aug-47	1 Photo
Togo Shigenori, Former Japanese Foreign Minister. Posed photo	25-Aug-47	1 Photo

	Shimada Shigetaro, Former Foreign Minister. Posed Photo	25-Aug-47	1 Photo
	Justice Northcroft. Posed photo	???	1 Photo
	Justice Northcroft. At work	???	1 Photo
	Justice Pal. Posed photo	1-Dec-47	1 Photo
<b>Envelope of Correspondence</b>	Several loose-leaf letters		
<b>International Military Tribunal at Nuremberg Documents</b>	Five volumes	1946	405 pages
	Nuremberg Decision and Judgment Part I	31-Aug-46	72 pages
	Nuremberg Decision and Judgment Part II	31-Aug-46	73 pages
	Nuremberg Decision and Judgment Part III	31-Aug-46	82 pages
	Nuremberg Decision and Judgment Part IV	31-Aug-46	84 pages
	Rulings of the International Military Tribunal, Neurenberg [sic]		94 pages

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## Extra and duplicate material

This section details the extra and duplicate material of the Northcroft collection. This is quite an extensive section and would be appropriate for sale, or simply as back-up material for the more fragile pieces of the original documents. Some of the extra material takes the form of New Zealand Department of External Affairs facsimiles. It seems likely that these are the result of requests made by the Librarian of University of Canterbury College in July and August 1949 to the Department of External Affairs for copies of the IMTFE Judgment. The page numbers of these facsimiles differ from original Judgment because the font and size are different. The content is identical.

TITLE	SUBTITLE	AUTHOR	COPY
Judgment Part A	Chapter I, II, and III	IMTFE	Third Copy [New Zealand Department of External Affairs Facsimile]
Judgment Part B	Chapter IV	IMTFE	Third Copy [New Zealand Department of External Affairs Facsimile]
Judgment Part B	Chapter V	IMTFE	Third Copy [New Zealand Department of External Affairs Facsimile]
Judgment Part B	Chapter VI	IMTFE	Third Copy [New Zealand Department of External Affairs Facsimile]
Judgment Part B	Chapter VII	IMTFE	Third Copy [New Zealand Department of External Affairs Facsimile]
Judgment Part B	Chapter VIII	IMTFE	Third Copy [New Zealand Department of External Affairs Facsimile]
Judgment Part C	Chapter IX and X	IMTFE	Third Copy [New Zealand Department of External Affairs Facsimile]
Judgment Part A	Chapter I, II, and III	IMTFE	Second Copy [unbound]
Judgment Part B	Chapter IV (in part)	IMTFE	Second Copy [unbound]
Judgment Part B	Chapter IV (contd.)	IMTFE	Second Copy [unbound]
Judgment Part B	Chapter V (in part)	IMTFE	Second Copy [unbound]
Judgment Part B	Chapter V (contd.), and VI	IMTFE	Second Copy [unbound]
Judgment Part B	Chapter VII	IMTFE	Second Copy [unbound]
Judgment Part B	Chapter VIII	IMTFE	Second Copy [unbound]
Judgment Part C	Chapters IX, and X	IMTFE	Second Copy [unbound]
Annexes to Judgment	Volume I	IMTFE	Second Copy [unbound]

<b>Annexes to Judgment</b>	Volume II	IMTFE	Second Copy [unbound]
<b>Separate Opinions of the Members from France, The Philippines, and Australia (The President of the IMTFE)</b>	Three opinions (unbound) bound together in one volume	IMTFE	Second copy of three unbound opinions tied together
	Dissenting Judgment of the Member from France of the IMTFE	Justice Bernard (France)	Second Copy [unbound]
	Concurring Opinion of the Member from the Philippines	Justice Jaranilla (the Philippines)	Second Copy [unbound]
	Separate Opinion of the President of the IMTFE	Justice Webb (Australia)	Second Copy [unbound]
<b>Dissenting Opinion of the Member from India on Judgment</b>	Parts I - Part VII	Justice Pal (India)	Second Copy [unbound]
	Volume I: Parts I - III and Part IV Introductory	Justice Pal (India)	Second Copy [unbound]
	Volume II: Part IV (in part)	Justice Pal (India)	Second Copy [unbound]
	Volume III: Part IV (contd.) - Part VI (in part)	Justice Pal (India)	Second Copy [unbound]
	Volume IV: Part VI (contd.) - Part VII	Justice Pal (India)	Second Copy [unbound]
<b>Separate Opinion of the Member from the Netherlands on Judgment</b>	One volume	Justice Rölíng (Netherlands)	Second Copy [unbound]
<b>Separate Opinions of the Members from France, The Philippines, and Australia (The President of the IMTFE)</b>	Three opinions (unbound) bound together in one volume	New Zealand Department of External Affairs	Third copy of three unbound opinions tied together
	Separate Opinion of the Member from France	Justice Bernard (France)	Third Copy (unbound)
	Concurring Opinion of the Member from the Philippines	Justice Jaranilla (the Philippines)	Third Copy (unbound)
	Separate Opinion of the President of the IMTFE	Justice Webb (Australia)	Fourth Copy (unbound)
<b>Miscellaneous and Extra Copies of Incomplete sets</b>			
	Judgment Part B Chapter IV (in part)	IMTFE	Fourth Copy (unbound)
	Judgment Part B Chapter IV (contd.)	IMTFE	Fourth Copy (unbound)
	Separate Opinion of the President of the IMTFE	Justice Webb (Australia)	Fifth Copy (unbound)

## Unique or near-unique items in the E.H. Northcroft Collection

- Brief of Facts Presented by the Prosecution By Direction of Justice General Myron C. Cramer(US) (8 Volumes)
  - P. Lopez (Associate Prosecutor for the Philippines) Trial Scenario of Class C Offences in General and Class B and C Offences in the Philippines (237 Pages)
  - Brigadier R. H. Quilliam [Associate Prosecutor for New Zealand] Report on Proceedings of the IMTFE [To Prime Minister of New Zealand] (4 April 1948). (24 Pages)
  - Prosecution Evidence against Individual Accused (4 Volumes: 983 pages)
  - General Cramer's Study of Evidence Relating to Manchuria (205 pages)
  - Röling, and Bernard on Judgment [Draft Suggestions] (231 pages)
  - Justice Röling on Conventional War Crimes (128 pages)
  - Captain Quentin-Baxter [Assistant to Justice E. H. Northcroft (New Zealand)] References in Judgment to Individual Accused (153 pages)
  - Individual Accused in Judgment (2 volumes: 438 pages)
  - Report to Prime Minister (New Zealand) on the IMTFE by Justice Erima Harvey Northcroft (42 pages)
  - Biographical (185 pages)
  - Exhibits 3916 A and B not admitted in Transcripts of the trial. Submission from acting head of Tribunal Justice Northcroft to General MacArthur asking on behalf of the Defence Counsel for US and British lawyers to be appointed to help the Japanese Defence lawyers. Dated 15 March 1946. Includes MacArthur's reply in affirming the request.
  - Envelope of Photographs
  - Envelope of Correspondence
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## **IMTFE documents missing from the Northcroft Collection**

- Index to the Court Docket(150 pages)
- Consolidated List of Language and Other Corrections to the Transcripts of the Proceedings in Open Session (Available at the National Library of New Zealand)
- List of Rejected Exhibits(187 pages)
- Prosecution Memorandum on The Law of Conspiracy
- Pre Trial Briefs Pertaining to the Selection of the Defendants
- Documents in the Case Files
- Minutes of the Executive Committee of the International Prosecution Section
- Defence Appeal to General Douglas MacArthur
- General Douglas MacArthur's Review of Sentences
- US Supreme Court Decision Regarding US Appellate Jurisdiction
- Daily Indexes
- Court Journal
- Minutes
- Index to IPS Documents (By Phase and Subject): Documents 1 to 2969 and 4000 to 4095
- Index of Documents Not Specifically Linked to One or More of the Defendants
- Index of Witnesses: Defence (From 28 February 1947 to 10 February 1948)
- Conference on Procedure Regarding Summation (21 November 1947)
- Decisions of Imperial Conferences, Cabinet Meetings, and Other Conferences and Meetings which Appear in the Prosecution's Evidence(IPS Document No. 0001)
- General Index of the Record/Record of Defence Case (IPS Document No. 0008) (152 pages)
- Summary of Evidence in Relation to Treatment of Prisoners of War, Civilian Internees and Inhabitants of Occupied Countries in Places Other than the Philippines [and at Sea] Between December 1941 and September 1945
- Analysis of Documentary Evidence, made by Prosecution Section
- 3 x 5 inch card Index to Witnesses.
- Index to Prosecution Documents, Listed by Defendants (49 pages)
- Index to Defence Documents, Listed by Phase as well as by Defendant(71 pages)
- List of the International Prosecution Section Case Files (6 pages)

## **Missing Proceedings in Chambers:**

- 29 April 1946
- 4 May 1946 (1 page)
- 11 May 1946 (9 pages)
- 24 June 1946 (known to be missing)
- 9 September 1946 (known to be missing)
- 31 January 1947 (re Okawa's medical condition. Untraceable)
- 9 April 1947 (re Okawa's medical condition. Untraceable)
- 15 May 1947 (15 pages)
- 24 June 1947 (2 pages)
- 13 August 1947 (9 pages)
- 29 August 1947 (known to be missing)
- 10 December 1947 (10 pages)
- 1 October 1948 (known to be missing)
- 10 October 1948 (known to be missing)